

#### **Trial Protocol**

**Protocol title:** External frame versus internal locking plate for articular pilon fracture fixation in adult patients - a multi-centre randomised controlled trial

**Short title:** Articular pilon fracture trial (ACTIVE)

**Trial registration:** ACTIVE is registered on International Standard Randomised Controlled Trial Number (ISRCTN98152560). The trial will also be registered with the Pan African Registry.

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# **Synopsis**

Scientific Title	External frame versus internal locking plate for articular pilon fracture fixation: a multi-centre randomised controlled trial			
Public title	Articular pilon fracture trial (ACTIVE)			
Countries of recruitment	United Kingdom and South Africa and other possible countries			
Health condition studied	Closed pilon fracture of the tibia, classified AO 43- C			
Interventions	Arm 1: Internal fixation:  'Locking' plate fixation with screws  Arm 2: External fram Limited open reduction articular fixation using fine wire fixator			
Key Inclusion and Exclusion Criteria  Trial Design	INCLUSION CRITERIA:  • Patients aged 18 years or older;  • With closed pilon fractures, classified AO 43- C which can be bi-lateral and patients with polytrauma;  • Where the treating surgeon believes the patient will benefit from surgical fixation.  EXCLUSION CRITERIA:  • Prior failed fixation;  • Pathologic fracture;  • Patient is/would be unable to understand instructions for treatment  • More than 21 days since injury  • Pre-existing (pre-injury) skin condition which precludes open surgery  Parallel randomised controlled trial			
Trial Participants	Aged 18 years and older			
Planned Sample Size	334 or revised target of 250 (overall including from sites in United Kingdom, South Africa and other countries that may take part.)			
Follow up duration	3, 6, 12 and 24 months			
Outcomes	Primary Secondary			
	Disability Rating Index (DRI) at 12 months	Olerud-Molander Ankle Score (OMAS); DRI; Health related quality of life (EQ5D-5L); Complications (including non-union); Resource use (e.g. impact on the healthcare system and productivity).		

# 1. Background and rationale

A pilon fracture is a severe ankle joint injury to the weight bearing joint surface of the bottom end of the tibia. It is caused by high energy trauma, typically in men of working age (30s to 40s) as a result of a fall from a height or traffic accident [1, 2]. Although pilon fractures are relatively uncommon, 5-7% of all tibial fractures [3-5], the risk of serious complications and long-term disability is high [2, 6].

The force required to create the fracture can lead to complex fracture configurations and extensive soft tissue damage that challenge repair [7]. This is particularly the case for complete articular fractures (Type C). Here, complications are common, and include deep infection, osteomyelitis (infection of the bone), repeat unplanned surgery including arthrodesis (permanently fixing a joint in one position), and amputation with the resultant impact on quality of life [8]. Complications can result in readmission rates of up to 50% [7, 9, 10]. Posttraumatic arthritis also occurs in a high proportion of patients even with adequate restoration of the joint [11]. Treatment is lengthy and costly. People with this injury have among the worst functional and health outcomes for any skeletal injury and it can have persistent and devastating consequences on patients' health and financial prospects [11-14].

Type C pilon fractures are managed surgically using either external fixation or internal fixation. External fixation uses a fine wire frame and pins. Once the fracture is healed, the external fixation is removed. It is often reserved for the most severe fractures, requires specialised training and is often performed in specialist centres. Internal fixation uses a plate and screws to stabilise the fracture and is performed more widely. Fine wire fixation can have a longer procedure time than internal fixation and once fixed can be very inconvenient to patients. One third of patients with external wires and pins develop infection. Although fine wire fixation is associated with a high superficial infection rate, it may lead to less deep infection, amputation and secondary intervention rate [15].

The current choice of treatment is dependent on the surgeons' training, expertise and preferences for a particular treatment. Reviews of the literature have consistently highlighted the need for high quality research, particularly randomised controlled trials (RCTs), to assess whether internal or external fixation is better for definitive management of these injuries [2, 15, 16].

Recent NICE guidance in the United Kingdom (UK) has identified the need to establish whether internal or external fixation is more clinical and cost effective for treating pilon fractures as a high-priority research recommendation [15]. They highlight this to be of high importance to both patients and to society, due to the high risk of early complications and long-term disability. In addition the Orthopaedic Trauma Society in the UK undertook a Delphi exercise among 217 consultant orthopaedic surgeons to identify high-priority research questions in orthopaedic surgery [17]. They ranked the need to establish whether internal fixation or external circular frame fixation produces the best outcomes in pilon fractures as the 4th most important research question. Whilst the top three questions have since been addressed, the one regarding fixation remains unanswered.

While the external fixator is much more expensive than internal fixation, there may be an increased risk of deep infection with internal fixation, which can add significant costs. Failed treatment is associated with significantly increased cost and can take four times longer than successful treatment [18-21]. These estimates do not take into account hospital and infrastructure costs, the wider personal and societal costs of morbidity and loss of earnings for the individual nor long-term health burden. If the lower limb is amputated, the costs of initial hospital care, rehabilitation, ongoing support and lifetime use of prosthetics can increase exponentially[22]. The implications of such an injury can also lead to financial hardship for the patient: only 28% of patients return to work within 20 months, and 75% report that the injury caused them financial difficulties [23].

A wide range of treatments have been described in the literature, however the standard treatments employed across South Africa for Type C pilon fractures involve either the use of internal fixation or external fixation devices [8]. There is limited evidence in the literature comparing the relative effectiveness of these treatments and that which exists is of poor quality.

In the UK NICE undertook a systematic review to establish whether fine wire external fixation is more clinically and cost effective than internal fixation for pilon fractures [15]. No economic evaluations were identified. Two RCTs and one observational study were identified [24-26]. The findings of the two RCTs indicate that internal fixation compared with external fixation may increase osteomyelitis occurrence. One RCT also showed a clinically significant increase in the number of unplanned surgeries, an increase in incidence of wound breakdown and an increase in incidence of amputation with internal compared with external fixation. The observational study showed that internal fixation was associated with a clinically important higher health-related quality of life compared with external fixation. The quality of the evidence

for all the studies was graded as either very low or low. Sample sizes were also small, between 45-60 pilon fractures, meaning that estimates of effect were very imprecise. NICE recommended that research was needed to determine whether internal or external fixation provided the best clinical and cost-effectiveness outcomes [15].

In order to address the evidence gap we will undertake an RCT and economic evaluation to establish whether internal or external fixation is more clinical and cost effective for the management of Type C pilon fractures. The outcome will directly influence clinical decision-making and health policy by informing national guidance, improve outcomes for patients and reduce the financial burden associated with the injury, as well as reduce funders and wider social care costs.

The injury's rarity means that the involvement of the maximum numbers of centres possible who treat pilon fractures, a high rate of identification of eligible patients, and achieving a high recruitment rate are critical. We therefore undertook an internal pilot and qualitative study in order to confirm feasibility of the main trial and ensure that trial processes are optimised before proceeding to the full trial. Recruitment to these aspects of the study closed at the end of February 2019. Given that two intensive surgical interventions are being compared we anticipate a higher recruitment rate than would be expected in a study comparing surgery to a non-surgical alternative. Previous orthopaedic trials comparing two surgical interventions have achieved high recruitment rates of around 70%, for example the DRAFTT trial [27]. However, our PPI work suggests that, although both of the interventions are surgical, patients may have strong preferences for receiving either treatment. Non-participation in a previous surgical trial was found to be associated with a concern about receiving a treatment chosen by chance and having a strong preference for a particular treatment [28]. This has been supported by other studies [29, 30]. Surgeons may also have preferences which may subtly influence how they discuss trial participation with patients [31]. These preference issues are not insurmountable but need to be carefully addressed; hence our integrated qualitative recruitment study.

Both treatment modalities are routinely performed at Groote Schuur and Tygerberg Hospitals in South Africa. The treating surgeon usually selects the modality according to his preferences. We seek to randomise patients amenable to both treatment options that give consent.

# 2. Aims and objectives

#### 2.1. Aim

To investigate the clinical and cost-effectiveness of internal plate fixation versus external fine wire fixation for the management of Type C closed pilon fractures of the distal tibia.

#### 2.2. Objectives

Our objectives are to:

- 1. Undertake a parallel group multi-centre randomised controlled trial (RCT) to assess the effectiveness of external fixation versus internal fixation for Type C pilon fractures. The primary outcome is patient function at 12 month follow-up, assessed by the patient-reported outcome measure, the Disability Rating Index
- Undertake an economic evaluation to compare the cost-effectiveness of external fixation compared to internal fixation to determine the most efficient provision of future care and to describe the resource impact on the healthcare system for the two treatment options

# 3. Trial design

An international multi-centre, randomised controlled superiority trial with parallel groups. An internal pilot phase, with an associated qualitative study, assessed the assumptions about recruitment and provided guidance on optimising the trial processes both of which have been completed.

## 4. Methods

## 4.1. Setting

Patients will be recruited from publicly funded hospitals in South Africa and the UK and other countries that agree to take part.

#### 4.2. Eligibility criteria

We will include all adult patients (18 years or older) with type C fractures who meet the eligibility criteria below.

#### 4.2.1. Inclusion criteria

- 1. Patients aged 18 years or older
- 2. With a closed intraarticular pilon fracture of the distal tibia classified according to AO: AO 43 Cl, C2 and C3 (complete articular). This includes patients with a bi-lateral pilon fracture and who have polytrauma.
- 3. Where the treating surgeon believes the patient will benefit from surgical fixation

#### 4.2.2. Exclusion criteria

- 1. More than 21 days since injury
- 2. Previous failed fixation
- 3. Pathologic fracture
- 4. Pre-existing (pre-injury) skin condition which precludes open surgery
- 5. Patient is/would be unable to understand instructions for treatment

Patients will only be approached to participate in the study if the treating surgeons feels that they should be offered surgery. Investigators are equally experienced with both techniques at our institution. In general indications for surgery would include:

- Intraarticular pilon fracture of the distal tibia (AO 43 Cl, C2 and C3) with significant displacement or at risk of significant displacement
- Where surgery is more likely to achieve a favourable outcome and no contraindications are present

#### Contra-indications:

- Soft tissue not amenable to surgical fixation
- Active sepsis
- Poor vascularity
- Any other factor that might unacceptably increase the risk of surgical fixation

#### 4.3. Interventions

Eligible and consenting patients will be randomly allocated to either internal fixation or external fixation. Surgeons at each recruitment centre skilled in either or both internal and external fixation will perform the surgery according to the patient's random assignment.

#### 4.3.1. Internal fixation

The 'locking' plate is inserted at the distal end of the tibia and passed under the skin on the surface of the bone. The details of the reduction technique, the surgical approach, the type and position of the plate, the number and configuration of fixed-angle screws and any supplementary device or technique will be left to the discretion of the surgeon. The only stipulation is that fixed-angle screws must be used in at least some of the distal screw holes – this is standard practice with all distal tibia 'locking' plates.

#### 4.3.2. External fixation

A limited minimally invasive open reduction and fixation of articular segment is undertaken. Once the articular segment is stabilized, the circular fixator is applied to the bone. Incision site, number and configuration of screws, number of rings, wires and half pins will depend on the fracture configuration and will be left at the discretion of the surgeon. Occasionally, synthetic / iliac crest bone grafts may be necessary and circular fixator will have to extend across the ankle, which again will be left at the discretion of surgeon.

#### 4.3.3. Routine physiotherapy advice

We will ensure that all patients randomised into the two groups will receive standardised, written physiotherapy advice detailing the exercises they need to perform for rehabilitation following their injury. Patients in both groups will be advised to move their toes, ankle and knee joints fully within the limits of their comfort. Early weight-bearing will be encouraged, but the details of weight-bearing status will be decided by the treating surgeon. In this pragmatic trial, any other rehabilitation input including and beyond written physiotherapy advice (such as formal referral to physiotherapy) will be left to the discretion of the treating clinicians. However, a record of any additional rehabilitation input (type of input and number of additional hydrotherapy) appointments, such as together with any other required investigations/interventions will be self-reported by trial participants as part of the 3, 6 month,

12 month and 24 month follow ups. In addition, detailed data on physiotherapy will be collected from physiotherapists using a specific CRF at the recruiting hospitals.

#### 4.4. Outcomes

#### 4.4.1. Primary outcome

The primary outcome is the Disability Rating Index (DRI) at 12 months post-randomisation. The DRI is a validated patient-reported outcome measure questionnaire [32]. It consists of a 12-item Visual Analogue Scale questionnaire assessing the patients' own rating of their disability specifically related to the lower limb. This data will be collected at baseline, 3, 6, 12 and 24 months follow-up post-randomisation. The DRI has been proven to be a robust, practical clinical and research instrument with good responsiveness and acceptability for assessment of disability caused by impairment in the lower limb. Baseline assessment will ask participants about their functioning *before* their injury and *before* their surgery.

#### 4.4.2. Secondary outcomes

- 1. Olerud and Molander Ankle Score (OMAS): The OMAS is an established validated nine-item, patientreported outcome measure developed and validated for use in clinical trials assessing symptoms following ankle fracture [33]. It contains nine items: pain, stiffness, swelling, stair climbing, running, jumping, squatting, supports and work/activities of daily living. Item responses are each scored from 0 to 25, with 0 representing the most severe state. The scale scores representing each dimension are produced by summing the responses to each item within that dimension. Raw scale scores are then converted to a metric (0-100; 0=most severe) [33]. The OMAS will be collected once at baseline (patients will be asked to complete it thinking about the week before ankle fracture) and then at 3, 6, 12 and 24 months follow-up.
- 2. *EuroQol 5 Dimensions (5L) Score (EQ5D-5L):* The EQ-5D-5L measures health-related quality of life in terms of

- 5 dimensions: mobility, ability to self-care, ability to undertake usual activities, pain and discomfort, anxiety and depression. Each dimension has five possible responses (no problems, slightly problems, moderate problems, severe problems and unable or extreme problems). The EQ-5D-5L will be scored according to the User Guide [34]. EQ-5D-5L data will be collected twice at baseline: *i.e.* once to assess patient health related quality of life on the day (after the injury) and once with regard to patient health related quality of life during the week before injury; then once each at 3, 6, 12 and 24 months.
- 3. *Complications:* Data on all further surgical procedures and other complications, e.g. deep wound infection (using Centres for Disease Control and Prevention definition), superficial infection, pin site infection (defined using the 'Good, Bad and Ugly' pin site grading system [35]), rehospitalisation, blood clots, wound dehiscence, septic arthritis, secondary interventions for non-union and all other secondary procedures will be collected by the research team using CRFs for infections and medical records at 3, 6, 12 and 24 months.
- 3.1. Non-union, mal-union and secondary arthritis. Non-union will be defined as inability to heal as confirmed on x rays / CT scan or as secondary intervention for failure to heal. Mal-union is defined by a standard measurement based on Dror Paley's technique, undertaken using final radiographs at 12 months. Secondary arthritis in the ankle will be assessed using the Kellgren and Laurence scale [36].
- 3.2. To undertake these assessments we will use routine standard radiographs (anterior-posterior and lateral tibia views, with a focus on the ankle for the latter view) and/or when necessary a CT scan of the tibia, fibula and/or ankle, which will be taken at 12 months after the injury. Assessment of imaging will be undertaken by the treating surgeon at the participating site using a proforma which will then be returned to the coordinating centre.

- 4. **Resource use and work impact:** Data on resource use and work impact will be collected to inform the economic evaluation (e.g. length of hospital stay, rehospitalisation and return to work). This data will be gathered through a brief questionnaire administered to patients at 3, 6, 12 and 24 months and hospital records. Table 1 outlines the schedule of events.
- 5. Patient preference for treatment: Data on patient preferences will be collected as part of the patient-completed questionnaire to inform the primary statistical analysis model. Patients will be asked about their preferred treatment; and to state if they have no treatment preference at the baseline and 12 month follow-up questionnaire. At 12 month follow-up patients would be asked to state their preference by imagining if they had the same injury again.
- 6. *Transition question:* To assist interpretation of findings, patients will be asked at the 12-month follow-up time-point whether compared with when they initially sustained the pilon fracture one year previously, how their ankle is currently. This will help us to describe clinically important changes for patients, should we identify a difference between the two treatment groups.
- 7. *Free text comments:* Patients will be given the opportunity to highlight any additional issues relevant to their ankle and its impact on their daily activities at the 3, 6, 12 and 24 month time-points.

In Table I we outline the schedule of events for ACTIVE.

Table 1: ACTIVE Schedule of events

Time-point	Baseline	3 month follow-	6 month follow-up	12 month follow-up	24 month follow-
		up			up
Disability Rating Index	X	X	X	X	X
EQ-5D - 5L	X	X	X	X	X
OMAS	X	X	X	X	X
Patient demographics	X				
Resource use (questionnaire and hospital completed CRFs)		X	X	X	X
Rehabilitation (type/no. of appointments)		X	X	X	X
Return to work/normal activities		X	X	X	X
Free text comments		X	X	X	X
Patient preference for treatment	X			X	
Transition question (Compared with 1 year ago?)				X	

#### 4.5. Sample size

The primary outcome is the DRI. In order to detect a minimum clinically important difference of 8 points on the DRI (SD 20) [32, 37, 38] with 90% power and 5% statistical significance, 133 participants per group are required (calculated using nQuery). Accounting for 20% attrition at the primary endpoint of one year follow-up, the total recruitment target is 334 participants (167 per arm). Not all participants will be followed up at the 24 month time-point. Assuming two thirds of patients included in the primary analysis are followed up to two years, statistical power will be 75% for the group comparison at two years.

On 6<sup>th</sup> September 2021, the funder approved a request from the study team for a costed extension, with the proviso that the sample size be recalculated to provide 80% power. In order to detect a minimum clinically important difference of 8 points on the DRI (SD 20) with 80% power and 5% statistical significance, 100 participants per group are required. Accounting for

20% attrition at the primary endpoint of one year follow-up, the total recruitment target is 250 participants (125 per arm). An implication of the costed extension is that a higher proportion of patients will be followed up at the 24 month time-point. Assuming 80% of patients included in the primary analysis model are followed up for the revised target, statistical power will be 71% for the group comparison at two years. Recruitment will continue beyond the target of 250 patients if that is met until the end of the recruitment period on 31st October 2023.

#### 4.6. Participant recruitment

Figure 1 outlines the pilon fracture treatment flowchart and how it fits into our recruitment plans for the trial. Potentially eligible patients will be recruited from orthopaedic trauma clinics or wards, intensive care units and the emergency departments. The research team will work closely with the direct care team at each centre to optimise the screening (i.e. identification of potential participants) and recruitment for their local circumstances. A member of the patient's direct care team will first approach the patient about the study. Then a member of the research team will provide information about the study including an information sheet. An additional leaflet will also be available to patients who may want to know more about their pilon fracture, the treatment and possible recovery. Patients will have the opportunity to ask questions of the surgeon and the local research team. Consent will be sought for follow-up beyond the duration of the trial to allow the possibility of future long-term follow-up. Members of the research nurse team who are fluent in English, Afrikaans and isiXhosa will be accessible to help patients with recruitment when English is not their first language.

Patient sustains closed pilon fracture Taken directly to Arrival at district or trial centre secondary centre Assessment and CT for bony injury; or retain in plaster Referral to trial centre from network hospital Potentially Multidisciplinary team eligible patients meeting, including research identified by/to team, to discuss patient and research team eligibility for trial Patient eligibility for **ACTIVE** confirmed Patient declines: Patient approached about Invited to give ACTIVE trial and consent reasons sought

Figure 1: Pilon fracture treatment flowchart

#### 4.6.1. Recruitment strategy

Patient accepts. Enrolled via standard consent process

The research team will provide the recruiting hospitals with a letter to publicise the trial to referring hospitals. This is to manage treatment expectations of patients before their referral to the recruiting hospital and to encourage the continued referral of patients through the normal care pathway. A grid will also be available to sites that answers frequently asked questions that patients ask about the treatment options.

#### 4.6.2. Internal pilot

We have successfully completed a 12 month pilot study to test our assumptions about recruitment in the UK setting. The results of which informed the continuation of the trial and which will be published and publically available in due course.

#### 4.7. Randomisation

Randomisation will be undertaken by York Trials Unit (YTU). When patients have consented and their baseline forms have been completed, the recruiting research associate/nurse/clinician will send an electronic copy of the completed Eligibility Confirmation Form to YTU via the University of York's secure online service for transferring files. A member of YTU staff will review the form and confirm patient eligibility to avoid inappropriate entry of patients into the trial. Once confirmed, YTU will randomise the patient using the secure web-based Trial Management System (developed specifically for the trial) and an email confirming treatment allocation will be sent to the research team at site. When a patient has a pilon fracture in both ankles, a specific ankle will be chosen prior to randomisation at the treating surgeon's discretion. YTU will then perform independent random allocation in a 1:1 ratio to internal fixation or external fixation, using computer generated random permuted blocks of random sizes, stratified by centre.

#### 4.7.1. Allocation concealment and blinding

Patients and treating clinicians will be informed of the allocation. Web-based randomisation will ensure concealment of the allocation sequence. However, as with many surgical trials, where the surgical site is clearly visible, it is not feasible to blind patients, surgeons or outcome assessors to their allocation. The primary outcome is a patient-reported measure. Outcome bias will also be mitigated somewhat by both groups of patients receiving routinely available surgical treatments. We will also collect data on patient and surgeon preferences. We will account for whether patients received their preferred treatment in a secondary analysis. Staff analysing questionnaire responses will be blind to patients' treatment allocation. All recruiting centres will have surgeons who are familiar with the two techniques and perform them as part of routine care.

#### 4.8. Data collection methods

Data will be collected and stored securely at recruiting sites, then returned electronically to YTU for scanning and processing. All reporting of data collection will be undertaken in line with the

Consolidated Standards of Reporting Trials (CONSORT) statement. Data will be collected at baseline, 3, 6, 12 and 24 months post-randomisation.

YTU will not receive the names or contact details of any participants recruited at sites in South Africa and will not have any direct contact with these participants. The research teams at the South African sites will store all consent forms securely and will not pass these on to YTU. The only personal identifiable data YTU will collect about participants recruited outside of the UK will be gender, date of birth, ethnicity, the hospital they were treated at and the country of residence. Participant ethnicity data collected will be in terms of a patient's self-classified ethnicity for the sole purpose of informing the applicability of the study results to the fracture population. The South African sites will do all the data collection described in the following sections in terms of patient questionnaires and hospital forms and will securely transfer these forms to YTU using the agreed service.

#### **4.8.1.** Monitoring of Screening Activity

Screening logs will be kept by participating centres throughout the trial. We will collect data on: number of eligible patients; proportion of eligible patients approached for consent; proportion of eligible patients not approached and reasons why; proportion of patients approached who provide consent; proportion of patients approached who do not provide consent and reasons why; proportion of patients providing consent who are randomised. We will also collect data on the proportion of patients randomised who do not receive the randomly allocated treatment and reasons why. Additionally, we will collect data on numbers of patients recruited with C1, C2 and C3 subtypes. Experience in either surgical procedure will be collected from all surgeons, including the predominant procedure used for their patients. During site set up, the training delivered to sites will cover equipoise. The assumption of surgeon equipoise will be monitored during recruitment by scanning reasons for exclusion during screening and reasons for crossover following randomisation that may reflect surgeon preferences.

#### 4.9. Follow up

Participants will be followed up at 3, 6 and 12 months post-randomisation. The primary follow-up point is 12 months post-randomisation. We will have an additional secondary outcome endpoint of 24 month follow up for all patients, apart from those recruited in the last 12 months of the trial. This will enable us to gather data for the secondary outcomes and economic analysis, whilst reducing costs and total length of the trial by 12 months. In addition, a 24-month follow up aligns with good practice timelines to assess for secondary arthritis. All follow-up will be undertaken within clinic visits that may be held face-to-face at the hospital or remotely and

patient questionnaires collected at 3, 6, 12 and 24 months. Radiographs are those routinely used for the investigation of patients with a suspected fracture of the distal tibia and for the follow-up of such patients following any intervention, so there will be no need to request any additional or special investigations.

To minimise attrition, multiple methods will be used to keep in touch with patients. Firstly, if patients need help completing the questionnaires one of the study team can help them complete them over the telephone. This includes calling the patient if there is missing data on the primary outcome when the questionnaire is returned and other missing data as feasible. Research staff at recruiting sites will ask patients for full contact details (including mobile phone number and email address) but will not share with YTU. Patients will primarily attend hospital clinics to complete questionnaires; participants will be reminded before the follow-up questionnaire is due at 3, 6, 12 and 24 months, to help prime participants and verify their contactability. Where these methods fail we will give participants the option for completion of an abridged questionnaire (a minimum of the DRI and EQ-5D) via telephone or electronically if necessary.

A management system which will be used to track participant recruitment and study status as well as Case Report Form (CRF) returns. Data from CRFs will be processed by administrative personnel. Data will be verified through cross checking of the data against the hard copy of the CRF. The trial coordinator and statistician will write a Validation Plan for the CRFs in consultation with the YTU Data Manager. The Plan will include detailed coding for the CRFs and data query resolution rules/procedures. Quality Control will be applied at each stage of data handling to ensure that all data are reliable and have been processed correctly.

#### 4.10. Qualitative study involving patients and surgeons

Our 12 month pilot study in the UK included a qualitative component to highlight any barriers or facilitators to recruitment and retention of trial participants. Recruitment to the qualitative component closed at the end of February 2019 and has informed the ongoing conduct of the study.

# 5. Data management

Data will be stored, accessed and archived in the international sites to the same standards as in the UK and as agreed in the ethics application and with the Sponsor. Study data will be recorded in a number of files for both the administration of the study and collection of patient data. These files, CRFs and consent forms will be kept at the site.

All data will be completely anonymised prior to sending to YTU and for purposes of analysis and any subsequent reports or publications. For the purposes of ongoing data management, once randomised, individual patients will only be identified by trial numbers. This includes in all correspondence with YTU about the patient.

The following data will not be sent to YTU: participant status log, participant enrolment log and consent forms. Instead of sending YTU copies of the consent forms, sites will complete a checklist to confirm the correct completion of consent forms and YTU will perform remote annual compliance checks.

The sites will be provided with a spreadsheet to allow them to track when hospital forms and patient questionnaires are due. The spreadsheet will be automated so that when the randomisation date is entered the due dates for data to be collected are populated.

#### 5.1. Data entry

The data collected by sites using paper CRFs, will be scanned and then sent electronically to YTU using the agreed secure service and will be entered/scanned into a secure web-based interface, specifically developed for this study.

The staff involved in the trial (both at the sites and YTU) will receive training on data protection. The staff will be monitored to ensure compliance with privacy standards.

Data will be checked according to procedures detailed in the trial specific Data Management Plan.

#### 5.2. Data storage

Each site will hold data according to the Protection of Personal Information Act (POPIA) from 2013. The trial team will also adhere to the European Union General Data Protection Regulation as enshrined in the UK's Data Protection Act 2018 for the data stored in YTU. Data will be collated in CRFs identified by a unique identification number (i.e. the Trial number) only. A Trial Enrolment Log at the sites will list the study ID numbers. YTU will maintain a list of trial numbers for all trial patients at each site.

Completed consent forms and CRFs, along with the essential documents in the Investigator Site File, will be kept in secure locations at the sites. Any documents that are stored electronically

will be kept on secure, password-protected computers and the secure sever of the institutions. All essential documents and CRFs will be kept at the participating site for the minimum period allowed for by local regulations, from the end of study completion either at a secure archiving facility as per agreed Standard Operating Procedures.

All YTU data recorded electronically will be held in a secure environment with permissions for access as detailed in the delegation log. The Department of Health Sciences, in which YTU is based at the University of York, has a backup procedure approved by auditors for disaster recovery. YTU are undertaking the analyses of the data collected and will only keep data that are anonymised. This anonymised data are stored on servers which are anti-virus protected and physically stored in a building that has 24 hours security with full data backups performed daily. All study files will be stored in accordance with Good Clinical Practice guidelines. Study documents (paper and electronic) held at the YTU will be retained in a secure (kept locked when not in use) location for the duration of the trial. All essential documents, including source documents, will be retained at YTU for a minimum period of five years after study completion. The separate archival of electronic data will performed at the end of the trial, to safeguard the data for the period(s) established by relevant regulatory requirements. All work will be conducted following the University of York's data protection policy, which is publicly available (<a href="https://www.york.ac.uk/records-management/dp/policy">www.york.ac.uk/records-management/dp/policy</a>).

Anonymised data collected during the study may be stored indefinitely. This anonymised data may be used for other analyses in the future. The anonymised data may also be shared or pooled with other collaborators both in South Africa and other countries. Any identifying information will be kept strictly confidential, and access will be limited to the original study team at participating hospital in South Africa. Researchers will need to have appropriate regulatory approval to analyse the anonymised data in the future. They will be unable to identify trial participants of this original study.

#### 5.2.1. Proposed time period for retention of relevant trial documentation

Essential trial documentation will be kept with the Trial Master File and Investigator Site Files. The Sponsor will ensure that this documentation will be retained for at least the minimum period allowed for by local regulations after the conclusion of the trial to comply with standards of Good Clinical Practice. Both in South Africa and UK, the CRFs will be stored up to 10 years after the conclusion of the trial as paper records; and a minimum of 20 years in electronic format in accordance with guidelines on Good Research Practice [39]. All paper records will be stored

in a secure storage facility at the sites or at YTU or in the longer term transferred to a secure offsite storage facility. All electronic records will be stored on a password protected server.

#### 5.3. Quality Assurance and Quality Control

In the UK this study will be fully compliant with the Research Governance Framework and MRC Good Clinical Practice Guidance. In South Africa this study will also comply with the National Guidelines for Good Practice in the Conduct of Clinical Trials with Human Participants in South Africa (2nd Edition, 2006 or most updated version)[40], the Helsinki Declaration of 2013 and the South African Medical Research Council Guidelines on the Responsible Conduct of Research. Detailed instructions and guidance relevant to database set up, data entry, validation, review, query generation and resolution, quality control processes involving data access and transfer of data to YTU at the end of the study and archiving will be agreed.

A rigorous programme of quality control will be undertaken. The day-to-day management of the trial will be the responsibility of the Trial Co-ordinator based at YTU. Regular meetings with the Trial Management Group will be held and the trial team will monitor adherence to the trial protocols at the trial sites. Quality assurance checks will be undertaken by YTU to ensure integrity of randomisation, study entry procedures and data collection.

#### 5.4. Statistical methods

#### 5.4.1. Statistical Analysis Plan

Full analyses will be detailed in a statistical analysis plan (SAP), which will be finalised prior to the end of data collection and which will be reviewed and approved by the independent data monitoring committee. Any exploratory analyses of sub-groups that are of clinical interest will be pre-specified in the SAP. This trial will be reported according to the CONSORT guidelines for clinical trials (Consolidated Standards Of Reporting Trials statement).

#### 5.4.2. Statistical analysis

A CONSORT flow diagram will be provided to display the flow of participants through the study (see Figure 2). The number of participants withdrawing from the trial will be summarised with reasons where available. Baseline characteristics will be presented by trial arm both for the trial population as randomised and for those patients included in the primary analysis i.e. those who provided a DRI score at 3 months, 6 months or 12 months, and had data on fracture type. Statistical analyses will be on intention to treat (ITT) basis with patients being analysed in the groups to which they were randomised. Statistical significance will be at the 5% level, and

analyses will be conducted in the latest available version of Stata or similar statistical software. All trial outcomes will be reported descriptively by trial arm at all time points at which they were collected. Continuous data will be summarised as means, standard deviations, medians and ranges; categorical data will be summarised as frequencies and percentages.

The primary analysis model will be a covariance pattern mixed effect linear regression model, with DRI scores at 3, 6 and 12 months follow-up as the dependent variable, adjusting for randomised treatment arm, group by time interaction and fracture type (Cl or C2 vs C3) as fixed effects and including treating centre and patient as random effects. The model will account for similarities of scores by the same person by means of an appropriate covariance structure. The estimated treatment group differences at 12 months will be reported as the primary endpoint with 95% confidence interval and associated p-value. Secondary analyses of the primary outcome will include an estimate of treatment group differences at 3 and 6 months from the same model. A separate model additionally including 24 month data will derive treatment group differences at that point. The overall treatment effect across all prior time points will be derived at 12 and 24 months (equivalent to area under the curve estimates). A sensitivity analysis will be carried out to assess the impact of adjusting for the DRI pre-injury and post-injury. Missing values of the DRI at baseline will be imputed using centre-specific means. The primary analysis model will then be repeated with the addition of terms adjusting for the DRI pre-injury and post-injury. A sensitivity analysis will be carried out to explore the impact of international sites on the primary outcome analysis results.

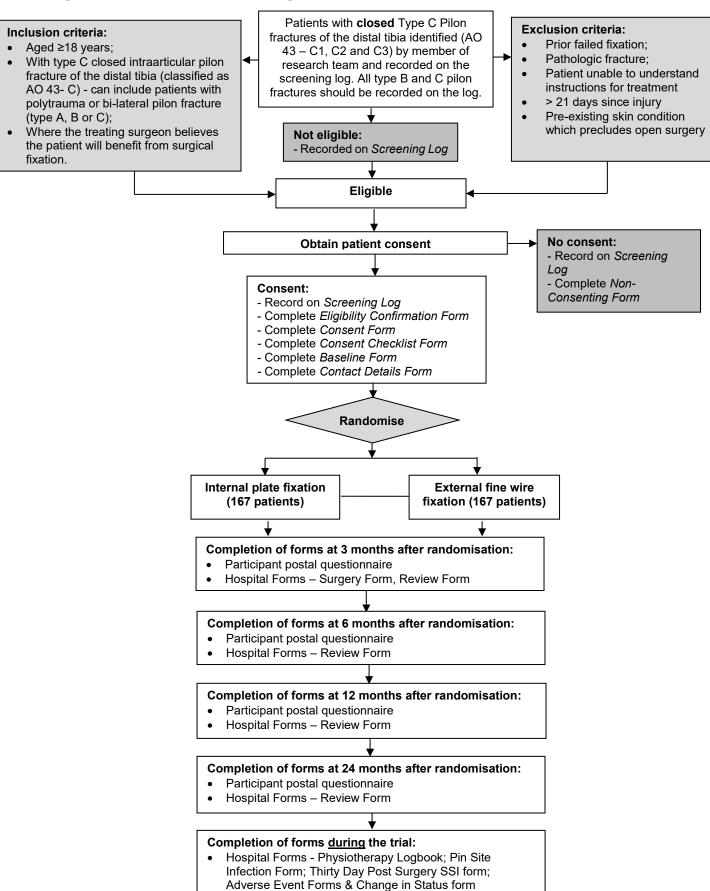
The nature of missingness for outcome data will be explored and multiple imputation and/or deviations from the missing-at-random assumption considered if appropriate.

There will be two exploratory subgroup analyses of the primary outcome, to assess the effectiveness of the different treatments across different patient subgroups. One will consider the impact of baseline patient preferences, whereby an interaction between treatment arm and patient preference (receipt of preferred treatment, non-preferred treatment, no prior preference) will be added to the primary analysis model. The other will consider fracture types (C1+C2 vs C3), whereby an interaction between treatment arm and fracture type will be added into the primary analysis model. The p-values of the interactions will be reported. While there is insufficient statistical power for these interactions, they may help inform further research.

We will consider the impact that time to surgery has on the primary outcome by reporting DRI scores descriptively for the four patient groups formed by considering treatment allocation together with time to surgery (<2 days versus >7 days).

Secondary continuous PROMS outcomes will be analysed in a similar manner to the primary analysis model. Binary secondary outcomes of additional procedures and complications will be analysed will be analysed graphically[41]

Figure 1: ACTIVE Trial CONSORT flow diagram



#### 5.4.3. Cost-effectiveness analysis

The aim of this economic evaluation is to assess the cost-effectiveness of internal plate fixation in comparison with external fine-wire fixation for the treatment of Type C pilon fractures of the distal tibia. Therefore a cost-effectiveness analysis will be conducted as part of this trial. Costs and health outcomes associated with the surgical interventions will be collected over the follow-up period of the trial. The time horizon of the analysis will be 2 years, as per duration of the ACTIVE trial, and will follow a National Health Services (NHS) and Personal Social Services (PSS) UK perspective. In addition, we will conduct a secondary analysis to explore the impact of productivity costs and unpaid activities on cost-effectiveness results. Any pre-specified subgroup analyses will be conducted based on the subgroups defined by the statistical analysis.

The primary outcome for the economic analysis will be the additional cost per quality-adjusted life year gained of internal plate fixation compared to external fine-wire. Hence the value for money will be estimated in terms of cost per QALY following an intention-to-treat approach. Data on resource use and health outcomes will be collected prospectively during the analysis using self-reported questionnaires at baseline, 3, 6, 12 and 24 months and hospital CRFs. Costs relating to surgical procedures will be based on time in theatre, staff time, consumables and devices, and nights in hospital after the procedure. A discount rate will be applied to all costs and QALYs accrued after 12 months at a rate of 3.5% per annum in line with NICE guidance [42].

If the results deem appropriate (i.e. there is a non-dominant situation in the trial-based evaluation) we will carry out a secondary analysis to explore how the differences observed during the trial evolve beyond the study. For this projection, we will use a decision modelling approach to extrapolate the cost-effectiveness data observed in the ACTIVE trial to a lifetime horizon. The analyses will be based on a combination of observed in-trial cost and HRQoL and projections of life expectancy. In the model, each patient will assume to encounter an annual risk of death based on age and sex obtained from UK life tables.

Self-reported questionnaires, including attendance at physiotherapy and hospital forms will be specifically designed to collect information on hospital stay (initial and subsequent inpatient episodes, outpatient hospital visits and A&E hospital admissions); primary care consultations (e.g. GP, nurse and physiotherapy); out-of-pocket costs and work impact of both interventions as well as return to work. The cost of each type of surgery and related complications will be essential for the analysis. Hence an accurate record of procedures at hospital level (e.g. centres in the trial) will be put in place in order to record per patient information (e.g. surgical

procedures, complications related to the surgical intervention, other medical complications). Costs relating to surgical procedures will be based on time in theatre, staff time, consumables and devices, and nights in hospital after the procedure. These data will be collected via a surgical form that will be specifically designed for this trial. In order to describe the resource impact of re-operations in this clinical area, we will also collect Healthcare Resource Groups on discharge for each admission in the UK. Similarly we will ask UK patients for consent to access Hospital Episode Statistics (HES) data in case it is deemed appropriate to monitor long term hospital care related to their initial injury and its treatment. Unit costs will be derived from established national costing sources such as NHS Reference Costs, PSSRU Unit costs of health and social care, and the British National Formulary. Unit costs will be multiplied by resource use to obtain a total cost for each patient. As already stated the EQ-5D-5L questionnaire will be also included in the questionnaires to measure the impact of the intervention on patient's health related quality of life. We will present descriptive statistics of the utility scores for both trial arms at each data collection point. The raw EQ-5D scores according to domain will be displayed, in order to examine the movements between levels for each domain according to the trial arm. The overall difference in EQ-5D index scores between the two arms will be examined through regression methods, consistent with the model selected in the statistical analysis. The EQ-5D health states will be valued using a UK-based social tariff. QALYs will be calculated by plotting the utility scores at each of the three time points and estimating the area under the curve [43].

For the analysis, regression methods will be used following a bootstrap framework. The bootstrap's main advantage is dealing with skewed data, which often characterise economics data. Heterogeneity will be captured by including baseline prognostic factors in regressions that will inform the economic model. Selection of regression covariates will be in line with the statistical analyses. The pattern of missing data will be analysed and handled by means of multiple imputation (MI)[44]. A range of sensitivity analysis will be conducted to test the robustness of the results under different scenarios, including probabilistic sensitivity analysis. The probability that each intervention is cost-effective will be reported at the cost-effectiveness thresholds applied by NICE of £20,0000 to £30,000/QALY[45], and also £13,000/QALY as suggested by recent research[46, 47]. If the results deem appropriate (i.e. there is a non-dominant situation in the trial based evaluation) a complementary analysis will be carried out to explore how the differences observed during the trial evolve beyond the study. For this projection, we will use a decision modelling approach to extrapolate the cost-effectiveness data observed in the trial to a life time horizon. A review of existing literature will be conducted to determine the existence of evidence of relevant treatments in the patient groups eligible for the

ACTIVE trial that could be potentially used in our model. To note that this cost-effectiveness assessment will be conducted from the UK NHS perspective. Therefore, only economic data collected from participants recruited from UK sites will be used for the primary analysis. However, country specific cost-effectiveness estimates will be explored via sensitivity analyses if there are sufficient patient numbers and local unit costs from these jurisdictions are facilitated.

Full analyses will be detailed in a Health Economic Analysis Plan (HEAP).

#### 5.5. Data monitoring

The primary responsibility for monitoring the safety of participants in clinical trials lies with the trial Sponsor. Data monitoring will be undertaken by the Trial Management Group (TMG), Trial Steering Committee (TSC) and a Data Monitoring and Ethics Committee (DMEC), on behalf of the Sponsor and Funder.

#### 5.5.1. Trial Management Group (TMG)

A TMG has been established to oversee the day-to-day management of ACTIVE, and is chaired by the Chief Investigator in the UK. Other members include the trial statisticians, trial manager, trial coordinators, health economist, qualitative researcher and other co-applicants. The role of the TMG is to monitor all aspects of the conduct and progress of the trial, ensure that the protocol is adhered to and take appropriate action to safeguard participants and the quality of the trial itself. The TMG will meet regularly by tele/videoconference and will also hold meetings with the South African Principal Investigators and supporting staff.

#### 5.5.2. Trial Steering committee (TSC)

An independent TSC has been established to provide overall supervision for ACTIVE and to ensure that the project is conducted to the rigorous standards set out in the Department of Health's Research Governance Framework for Health and Social Care and the Guidelines for Good Clinical Practice. This committee comprises of an Independent Chair who is a Professor of Health Services Research and Clinical Trials, a consultant orthopaedic surgeon with expertise in surgically fixing pilon fractures, a public contributor, the Chief Investigator in the UK and Trial Coordinator/Manager. Other study collaborators may also attend the meeting with the agreement of the Chair. The TSC will meet at least annually and will work to a Charter which has been agreed.

#### 5.5.3. Data monitoring and ethics committee (DMEC)

The role of the DMEC is to review accumulating data in ACTIVE and advise the sponsor (directly or indirectly) on the future management of the trial. The DMEC is Chaired by a statistician, with other members comprising of experts in the clinical area. The DMEC will review safety and efficacy data as well as quality and compliance data. The DMEC will review all adverse events. The independent members of the DMEC committee will be allowed to see unblinded data. The DMEC will meet at least annually or more frequently if the committee requests. A DMEC Charter has been agreed which they will work to.

#### 6. Harms

#### 6.1. Risks and anticipated benefits

In the context of the lack of robust evidence to determine the best surgical intervention for patients with these injuries, the risks are not increased through trial participation. However, there are risks associated with this study, which are predominantly the risks associated with the surgery: infection, bleeding and damage to the adjacent structures such as nerves, blood vessels and tendons. Participants in both groups will undergo surgery and will potentially be at risk from any/all of these complications.

In this trial surgeons will perform interventions which they undertake as part of routine practice and with which they are familiar. Measures taken by us, such as our emphasis on good practice and standardised protocols/care pathways throughout, are likely to reduce risk and could bring additional benefits. We will adhere to the Research Governance Framework/ UK Policy Framework for Health and Social Care Research and MRC Good Clinical Practice Guidance for the UK sites [48, 49] [50], and in South Africa will also comply with the National Guidelines for Good Practice in the Conduct of Clinical Trials with Human Participants in South Africa (2nd Edition, 2006)[40], the Helsinki Declaration of 2013 and the South African Medical Research Council Guidelines on the Responsible Conduct of Research. The participant information sheet for the study will be developed with the involvement of service users and will give a balanced account of the possible benefits and known risks of the interventions. It will state explicitly that quality of care will not be compromised if the participant decides to a) not enter the trial or b) withdraw their consent. We will make it clear that there is no obligation to participate. Written informed consent will be obtained before randomisation from all participants after they have had sufficient time to read the study materials and ask questions. We will not recruit patients

who do not have the capacity to understand the instructions for treatment. An application for ethical approval will be made. We do not anticipate major ethical concerns with this study. The only potential concern would be the inclusion of patients who lack mental capacity to understand instructions for treatment. We will allow the treating clinician to exclude these patients from this trial. The local R&D committee of each of the participating hospitals will approve local involvement in the trial. The trial will be subject to DMEC and TSC oversight.

# 6.2. Informing potential trial participants of possible benefits and known risks

Informed consent will be obtained by the trained members of the local research team using a patient information leaflet developed with the help of service users, which explains the risks and benefits clearly. Participation of patients will be confirmed as written informed consent and voluntary and undertaken before randomisation. In the unlikely event that new information arises during the trial that may affect participants' willingness to take part, this will be reviewed by the TSC for addition to the patient information leaflet. A revised consent form approved by the ethics committee will also be completed by the patient if necessary.

## 6.3. Adverse event management

Adverse events (AE) are defined as any untoward medical occurrence in a clinical trial participant and which do not necessarily have a causal relationship with the treatment and will be reported according to the timelines in the HREC guidelines. We will only collect adverse event data related to treatment for the original injury and only up until the 24 month follow up. All AEs will be listed on the appropriate Case Report Form for routine return to YTU. Serious adverse events are defined as any untoward and unexpected medical occurrence that: 1) Results in death; 2) Is life-threatening; 3) Requires hospitalisation or prolongation of existing inpatients' hospitalisation; 4) Results in persistent or significant disability or incapacity; 5) Is a congenital anomaly or birth defect; 6) Any other important medical condition which, although not included in the above, may require medical or surgical intervention to prevent one of the outcomes listed. A list of expected adverse events is given in Table 2.

Table 2: Expected adverse events

Wound complications (e.g. delayed healing)
Infection at the surgical site or adjacent joint

Pin site infection requiring procedure, antibiotics or admission

Damage to a nerve or blood vessel

Breakage of orthopaedic hardware

Thromboembolic events

Secondary operations for or to prevent infection, malunion, non-union or for symptoms related to the metalwork.

Wire breakage and removal / exchange of wire

Partial / complete frame removal

Chronic Regional Pain Syndrome

Amputation

Elective admissions to hospital for the ankle

Abnormal blood results related to an infection

All serious adverse events (SAE) will be entered onto the Serious Adverse Event reporting form and sent to YTU or using the agreed secure electronic service within 24 hours of the investigator becoming aware of them. The local Principal Investigator (or their delegate) will assess all adverse events for causality and expectedness. All such events will be reported to the Trial Steering Committee and Data Monitoring Committee at their next meetings and also to the Sponsor. The HREC will also be informed according to their timelines. Follow up reports a month later will be reviewed by the local Principal Investigator to ensure that adequate action has been taken and progress made.

The local site investigators will manage any adverse events and make sure patients access appropriate care pathways depending on the adverse event experienced.

# 7. Research ethics approval

We will seek approval from a Human Research Ethics Committee registered with the South African National Health Research Ethics Council of the South African National Department of Health. Once approval is gained, site-specific governance approval will be sought from each participating centre.

We do not anticipate major ethical concerns with this study. The only potential concern would be the inclusion of patients who lack mental capacity to understand the trial treatment. We will allow the treating clinician to exclude these patients from this trial.

#### 7.1. Protocol amendments

Any amendments to the protocol during the course of the trial will be submitted for approval by the HREC as necessary.

Responsibility for recording and dating both oral and written informed consent or agreement will be with the investigator, or persons designated by the investigator, who conducted the informed consent discussion. Designated responsibility should be recorded on the site delegation log.

#### 7.2. Consent

A member of the research team will invite the patient to consider joining the study. They will be provided with a participant information sheet and have the opportunity to ask questions of the surgeon and the local research team. Participation of patients will be confirmed as written informed consent and voluntary.

#### 7.2.1. Documenting consent

The original signed consent form will be kept in the investigator site file. Two additional copies of the consent forms will be made; one to be held in the patient's medical notes, and one for the patient. Site staff will not return any consent forms to YTU but will instead complete a checklist to confirm that the consent form has been completed correctly and this will be returned to YTU electronically using the agreed secure service in place of the consent form to maintain patient anonymity.

Throughout the whole study, screening logs will be kept at each site to determine the number of patients assessed for eligibility and reasons for any exclusion.

## 7.3. Patient confidentiality

The researchers and clinical care teams must assure that patients' anonymity will be maintained and that their identities are protected from unauthorised parties. Patients will be assigned a

Trial number and this will be used on CRFs and in all correspondence with YTU; patients will not be identified by their name in order to maintain confidentiality.

All records will be kept in locked locations. All consent forms will be secured safely in a separate compartment of a locked cabinet. Clinical information will only be looked at by responsible individuals from the study team, the Sponsor, the participating hospital, or from regulatory authorities; where it is relevant to the patient taking part in this research as he/she would have agreed to at the time of consent.

# 7.4. Compliance with the legislative mandates of the South African Health Products Regulatory Authority

The surgical techniques under investigation are well-recognized. International accepted surgical procedures using approved implants and medical devices that are routinely used for the indication outlined in this trial will be used. We do not therefore require authorisation from SAHPRA specific for the study.

### 8. Access to data

A statement of permission to access source data by study staff and for regulatory and audit purposes will be included within the patient consent form with explicit explanation as part of the consent process and Participant Information Sheet. Once YTU has completed the analysis and published all intended scientific journals, the data will be made available for other researchers.

In principle, anonymised data will be made available for meta-analysis and where requested by other authorised researchers and journals for publication purposes. Requests for access to data will be reviewed by the Chief Investigator, international collaborators and study Sponsor.

The Investigator(s)/Institutions will permit monitoring, audits, and HREC review (as applicable) and provide direct access to source data and documents.

# 9. Indemnity

The treatment options for this fracture population are both routine and currently available; therefore, the risk of patients coming to harm from participation in the trial is minimal.

In South Africa, the individual surgeon's institutional professional indemnity covers them for involvement in clinical trials as stipulated in their relevant insurer's policy for claims brought against them for malpractice/negligence. The Sponsor in South Africa will also provide no fault insurance to cover medical expenses / bodily injury that a participant might incur as a result of their participation in the trial. Additionally, the Sponsor will provide indemnity for the site investigators for claims that do not relate to malpractice but still relate to participation in the trial.

### 10. Finance

#### 10.1. Reimbursement for patient participation

At 3, 6, 12 and 24 month follow-up, an unconditional payment of RI50 will be provided to consenting patients to maximise the completion and return of questionnaires, as well as to reimburse participants for travel costs to follow-up clinic appointments. A sum of RI50 will also be given at the point of enrolment to the study. Participant reimbursement will be based on the TIE principles (time, inconvenience, expense)[51], with payment amounts detailed in localised trial documentation. This payment schedule is in line with that previously approved by HREC in a recent trauma trial based at Groote Schuur Hospital and Tygerberg Hospital [52]. The follow-up time points for the study are in line with the routine clinic follow-up timelines within standard care for this injury. Payments will be coordinated by the recruiting site and made via cash/voucher as per local requirements.

#### 10.2. Trial budget

There is reimbursement of patients for their time, inconvenience and expense as described above. There is also a budget to cover the cost of setting up the study and applying to HREC. Finally there is a budget of £599.88 per participant to cover the cost of collection of baseline data and participant follow-up. These costs are being funded by the budget holders in the UK.

# 11. Dissemination and projected outputs

Through the planned outputs, the study is expected to play a key role in enhancing the evidence base on the effectiveness and cost-effectiveness of internal and external surgical fixation for the management of pilon fractures. The economic component will help us to identify the most efficient provision of future care and thus savings to the NHS and society and more broadly to other countries if there are sufficient numbers. The qualitative investigation of patient experiences of the treatment options will provide important patient-centred insight to further guide clinical decision-making.

The executive summary and copy of the trial report will be sent to NICE and other relevant bodies, including Clinical Commissioning Groups, so that study findings can inform their deliberations and be translated into clinical practice nationally. We will work with the relevant Specialty Advisory Committees (SAC) to incorporate the findings into the training curriculum for clinicians who will undertake treatment for pilon fractures. We will use a number of dissemination channels to ensure that patients and the public are also informed about the results of the study. We will produce the following outputs:

- The study protocol will be published in a peer-reviewed, open access journal.
- A HTA research monograph will be produced.
- In conjunction with patient members of the team we will generate patient information for "Shared Decision Making" based on findings from this trial and update the entry on Wikipedia [53] and write the Map of Medicine [54] entry on pilon fractures management.
- The results of the study will be presented at national and international surgical meetings such as the British Orthopaedic Association Annual Congress, the UK Orthopaedic Trauma Society meeting, the North American Orthopaedic Trauma Association the European Federation of National Associations of Orthopaedics and Traumatology (EFFORT), Société Internationale de Chirurgie Orthopédique et de Traumatologie (SICOT), the American Academy of Orthopaedic Surgeons and the Combined South African Orthopaedic Association Congress.
- The findings will be published in peer reviewed high impact general medical and orthopaedic journals such as Lancet, the BMJ or similar.

A summary of the study report, written in lay language will be produced and made available
to participants, members of our user group and relevant patient-focused websites.

A full publication policy will be produced for the trial. This will ensure that all Principal Investigators at sites will be listed as named Collaborators on the Final Report and main publication.

# 12. Trial management

The Trial Co-ordinator role will be based at YTU and will co-ordinate recruitment across the UK and international sites, supported by a senior Trial Manager. The YTU team will work closely with the Principal Investigators and supporting staff for South Africa and the Sponsor.

#### 12.1. Expertise of trial team

The multidisciplinary team includes expertise in surgical management of pilon fractures in both techniques being tested; experience of receiving treatment for a pilon fracture; physiotherapy; design, delivery and statistical analysis of randomised controlled trials; and design, delivery and analysis of qualitative research. The UK team are based at Hull University Teaching Hospitals NHS Trust; The Royal Liverpool and Broadgreen University Hospitals NHS Trust; Nuffield Department of Orthopaedics, Rheumatology and Musculoskeletal Sciences; Newcastle University and University of York. Co-investigators within South Africa who will be responsible for delivery of the trial at Groote Schuur Hospital and Tygerberg Hospital within Cape Town, will have expertise in the treatment of pilon fractures and in research governance, ethics and delivery within the local setting. These Co-investigators will also be supported by staff from the University of Cape Town Clinical Research Centre and TREAD research centre in Tygerburg Hospital.

# 13. Project Timeline

The start date for the study was I September 2017 with a 60 month duration. With a 32 month extension to the project the study will now be 92 months in duration and end 30<sup>th</sup> April 2025.

Recruitment began on 1 March 2018 and will end on 31st October 2023. Data collection will end on 31st October 2024 and analyses and write up completed on 30th April 2025.

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